

## HOMEWORK 4 – SOLUTIONS

**Problem 1:** Write  $f_n(x) = g_n(x) + h_n(x)$  where  $g_n(x) = \cos(x + n)$  and  $h_n(x) = \ln(1 + \frac{\sin(nx)}{\sqrt{n+2}})$ . It suffices to show that  $\{g_n\}$  and  $\{h_n\}$  are each equicontinuous on  $[0, 2\pi]$ .

**Claim:**  $\{g_n\}$  is equicontinuous on  $[0, 2\pi]$

Note  $|g'_n(x)| = |\sin(x + n)| \leq 1$ . Thus each  $g_n$  is Lipschitz continuous with Lipschitz constant 1, so  $\{g_n\}$  is equicontinuous.

**Claim:**  $\{h_n\}$  is equicontinuous on  $[0, 2\pi]$ .

It suffices to show  $h_n$  converges uniformly on  $[0, 2\pi]$ . We use the fact that if  $f$  is uniformly continuous and  $g_n \rightarrow g$  uniformly, then  $f \circ g_n \rightarrow f \circ g$  uniformly.

To see this fact, fix  $\epsilon > 0$ . Since  $f$  is uniformly continuous, we can choose  $\delta$  so  $|f(x) - f(y)| < \epsilon$  when  $|x - y| < \delta$ . Since  $g_n \rightarrow g$  uniformly, we can choose  $N$  so  $|g_n(x) - g(x)| < \delta$  when  $n > N$ . Then  $|f(g_n(x)) - f(g(x))| < \epsilon$  when  $n > N$ , so  $f \circ g_n \rightarrow f \circ g$  uniformly.

Now note  $|\sin(nx)| \leq 1$ , so  $1 + \frac{\sin(nx)}{\sqrt{n+2}} \rightarrow 1$  uniformly on  $[0, 2\pi]$  as  $n \rightarrow \infty$ . Note  $1 + \frac{\sin(nx)}{\sqrt{n+2}}$  always lies within the compact set  $[1 - \frac{1}{\sqrt{2}}, 1 + \frac{1}{\sqrt{2}}]$  (again since  $|\sin(nx)| \leq 1$ ), and  $\ln$  is continuous hence uniformly continuous on compact sets. Thus by the above fact  $h_n \rightarrow 0$  uniformly on  $[0, 2\pi]$ .

We conclude  $\{h_n\}$  is equicontinuous on  $[0, 2\pi]$ .

**Problem 2:**

**Claim:** If  $\{f_n\}$  is equicontinuous on  $[0, 1]$  then  $f$  is constant on  $[0, \infty)$ .

Take any  $\epsilon > 0$  and any  $x, y \geq 0$ . We will show  $|f(x) - f(y)| < \epsilon$ .

By equicontinuity, there exists  $\delta$  such that if  $a, b \in [0, 1]$  and  $|a - b| < \delta$ , then  $|f_n(a) - f_n(b)| < \epsilon$  for all  $n$ .

Choose  $n$  large enough that  $\frac{x}{n} \leq 1$ ,  $\frac{y}{n} \leq 1$ , and  $|\frac{x}{n} - \frac{y}{n}| < \delta$ . Then

$$|f(x) - f(y)| = \left| f_n\left(\frac{x}{n}\right) - f_n\left(\frac{y}{n}\right) \right| < \epsilon$$

Since  $\epsilon$  is arbitrary, we conclude  $f(x) = f(y)$ , and thus  $f$  is constant.

**Claim:** Furthermore, if  $f$  is constant on  $[0, \infty)$  then  $\{f_n\}$  is equicontinuous on  $[0, 1]$ .

Given any  $\epsilon > 0$ , take  $\delta = \frac{1}{2}$ . Then for all  $x, y \in [0, 1]$  with  $|x - y| < \frac{1}{2}$ , we have for all  $n \in \mathbb{N}$  that  $nx \geq 0$ ,  $ny \geq 0$ , and thus  $f(nx) = f(ny)$ . Thus  $|f_n(x) - f_n(y)| = 0 < \epsilon$ , so  $\{f_n\}$  is equicontinuous on  $[0, 1]$ .

**Problem 3:** By Arzelà-Ascoli we only need to show that  $\{F_n\}$  is bounded and equicontinuous.

**Claim:**  $\{F_n\}$  is bounded.

Because  $\{f_n\}$  is uniformly bounded, say  $|f_n(x)| < M$ , we have

$$|F_n(x)| = \left| \int_a^x f_n(t) dt \right| \leq \int_a^x |f_n(t)| dt \leq \int_a^b |f_n(t)| dt \leq M|a - b|.$$

So  $\{F_n\}$  is bounded.

**Claim:**  $\{F_n\}$  is equicontinuous.

Given  $\epsilon > 0$  take  $\delta = \frac{\epsilon}{M}$ . Consider any  $x > y$  with  $|x - y| < \delta$ . Then

$$|F_n(x) - F_n(y)| = \left| \int_a^x f_n(t) dt - \int_a^y f_n(t) dt \right| \leq \int_y^x |f_n(t)| dt \leq M|x - y| < M\delta = \epsilon.$$

Thus  $\{F_n\}$  is equicontinuous.

**Problem 4:** Let  $x, y \in \mathbb{R}$  with  $x < y$ . Split  $[x, y]$  into  $n$  intervals of length  $\frac{y-x}{n}$ ; the endpoints of these intervals are  $x_k = x + \frac{k}{n}(y - x)$  for  $k \in \{0, \dots, n\}$ . Then we have for some constant  $C$

$$\begin{aligned} |f(x) - f(y)| &\leq \sum_{k=0}^{n-1} |f(x_{k+1}) - f(x_k)| \\ &\leq C \sum_{k=0}^{n-1} |x_{k+1} - x_k|^\alpha \\ &\leq C \sum_{k=0}^{n-1} \left(\frac{y-x}{n}\right)^\alpha \\ &= C|y-x|^\alpha n^{1-\alpha}. \end{aligned}$$

As  $n \rightarrow \infty$ , we have  $C|y-x|^\alpha n^{1-\alpha} \rightarrow 0$ , so  $|f(x) - f(y)| = 0$ . Thus  $f$  is constant.

**Problem 5:**

**First ODE:**

$$\begin{aligned}\frac{du}{dt} &= u^2 \\ \frac{du}{u^2} &= dt \\ \int \frac{du}{u^2} &= \int dt \\ -\frac{1}{u} &= t + C \\ u &= -\frac{1}{t + C}\end{aligned}$$

$$u(0) = 1 \Rightarrow -\frac{1}{0 + C} = 1 \Rightarrow -\frac{1}{C} = 1 \Rightarrow C = -1$$

$$u = -\left(\frac{1}{t - 1}\right) = \frac{1}{1 - t}$$

**Second ODE:**

$$\begin{aligned}\frac{du}{dt} &= \sqrt{u} \\ \frac{du}{\sqrt{u}} &= dt \\ \int \frac{du}{\sqrt{u}} &= \int dt \\ 2\sqrt{u} &= t + C \\ \sqrt{u} &= \frac{t + C}{2} \\ u &= \left(\frac{t + C}{2}\right)^2\end{aligned}$$

$$u(0) = \left(\frac{C}{2}\right)^2 = \frac{C^2}{4} = 0 \Rightarrow C = 0$$

$$u = \left(\frac{t}{2}\right)^2 = \frac{t^2}{4}$$

### Problem 6:

Take  $f(x) = \ln(e^x + 1)$ . Then

$$|f'(x)| = \left| \frac{e^x}{e^x + 1} \right| < 1.$$

But if  $f$  has a fixed point  $x$  then  $x = \ln(e^x + 1)$ , so  $e^x = e^x + 1$ ; this is impossible, so  $f$  has no fixed point.

### Problem 7:

**STEP 1:** Let  $x_0 \in X$  and define  $x_n = f^n(x_0)$  ( $f$  applied  $n$  times)

Notice  $d(x_1, x_2) = d(f(x_0), f(x_1)) \leq kd(x_0, x_1)$  and

$$d(x_2, x_3) = d(f(x_1), f(x_2)) \leq kd(x_1, x_2) \leq kkd(x_0, x_1) = k^2d(x_0, x_1)$$

And more generally you can show that

$$d(x_n, x_{n+1}) \leq k^n d(x_0, x_1)$$

**STEP 2: Claim:**  $(x_n)$  is Cauchy

**Why?** Let  $\epsilon > 0$  be given and  $N$  be TBA, then if  $m, n > N$  (WLOG assume  $n \geq m$ ), then

$$\begin{aligned}
d(x_m, x_n) &\leq d(x_m, x_{m+1}) + d(x_{m+1}, x_{m+2}) + \cdots + d(x_{n-1}, x_n) \\
&\leq k^m d(x_0, x_1) + k^{m+1} d(x_0, x_1) + \cdots + k^{n-1} d(x_1, x_0) && \text{(By STEP 1)} \\
&\leq (k^m + k^{m+1} + \cdots + k^{n-1}) d(x_1, x_0) \\
&= k^m (1 + k + \cdots + k^{n-m-1}) d(x_0, x_1) \\
&\leq k^m (1 + k + k^2 + \cdots) d(x_0, x_1) \\
&= k^m \left( \frac{1}{1-k} \right) d(x_0, x_1) \\
&\leq \frac{k^N}{1-k} d(x_0, x_1) \quad \text{Since } m > N \text{ and } k < 1
\end{aligned}$$

But since  $k < 1$  we have  $\lim_{n \rightarrow \infty} k^n = 0$ , so we can choose  $N$  large enough so that  $\frac{k^N}{1-k} d(x_0, x_1) < \epsilon$ , which in turn implies  $d(x_m, x_n) < \epsilon$  ✓

**STEP 3:** Since  $(x_n)$  is Cauchy and  $X$  is complete,  $(x_n)$  converges to some  $p$

**Claim:**  $p$  is a fixed point of  $f$ .

This follows because

$$\begin{aligned}
x_{n+1} &= f(x_n) \\
\lim_{n \rightarrow \infty} x_{n+1} &= \lim_{n \rightarrow \infty} f(x_n) \\
p &= f\left(\lim_{n \rightarrow \infty} x_n\right) && \text{(continuity)} \\
p &= f(p) \checkmark
\end{aligned}$$

**STEP 4: Uniqueness:** Suppose there are two fixed points  $p \neq q$ , then

$$d(p, q) = d(f(p), f(q)) \leq kd(p, q) < d(p, q) \Rightarrow \Leftarrow$$