

Deconfinement phase transition in $SU(3)/Z_3$ QCD (adj) via the gauge theory/affine XY-model duality

1

MOHAMED ANBER
UNIVERSITY OF TORONTO

**12TH WORKSHOP ON NON-
PERTURBATIVE QCD**

M.A., Erich Poppitz, Mithat Unsal arXiv:1112.6389
M.A., Scott Collier, Erich Poppitz arXiv: 1211.2824

LHC

2

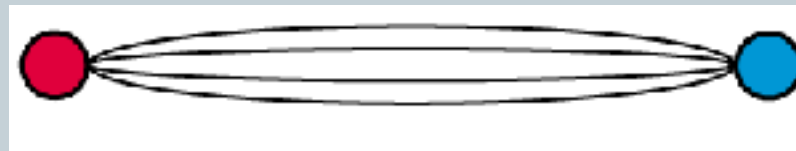
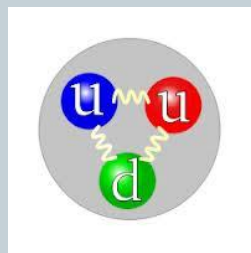
- LHC exciting news?!!



Motivation

3

- spontaneous breaking of chiral symmetry (via confinement) \longrightarrow the visible mass in the universe
- Confinement is the mechanism for holding quarks inside nucleons



$$V = \sigma R$$

Confinement is Hard!

4



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First Clay Mathematics Institute Millennium Prize Announced

Prize for Resolution of the Poincaré Conjecture Awarded to Dr. Grigoriy Perelman

March 18, 2010. The Clay Mathematics Institute (CMI) announces today that Dr. Grigoriy Perelman of St. Petersburg, Russia, is the recipient of the Millennium Prize for resolution of the Poincaré conjecture. The citation for the award reads:

The Clay Mathematics Institute hereby awards the Millennium Prize for resolution of the Poincaré conjecture to Grigoriy Perelman.

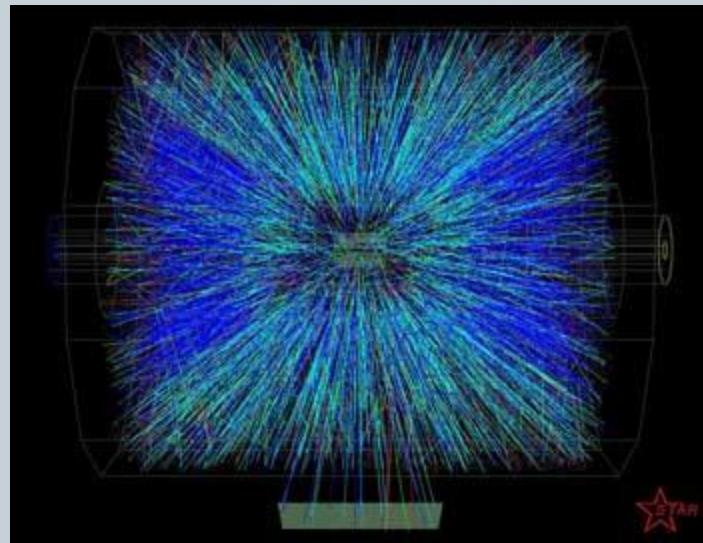
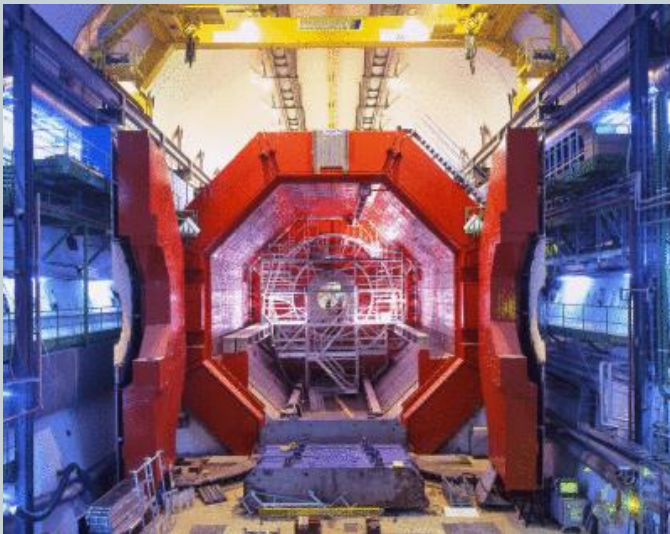
- ▶ [Birch and Swinnerton-Dyer Conjecture](#)
- ▶ [Hodge Conjecture](#)
- ▶ [Navier-Stokes Equations](#)
- ▶ [P vs NP](#)
- ▶ [Poincaré Conjecture](#)
- ▶ [Riemann Hypothesis](#)
- ▶ [Yang-Mills Theory](#)
- ▶ [Rules](#)
- ▶ [Millennium Meeting Videos](#)

Following the decision of the Scientific Advisory Board, the Board of Directors of CMI designated a \$7 million prize fund for the solution to these problems, with \$1 million allocated to the solution of each problem.

Motivation

5

- As we increase the temperature, deconfinement happens
- Quark-gluon plasma: a new state of matter
- Novel phenomena, e.g. chiral magnetic effect



Phase transition order parameters

6

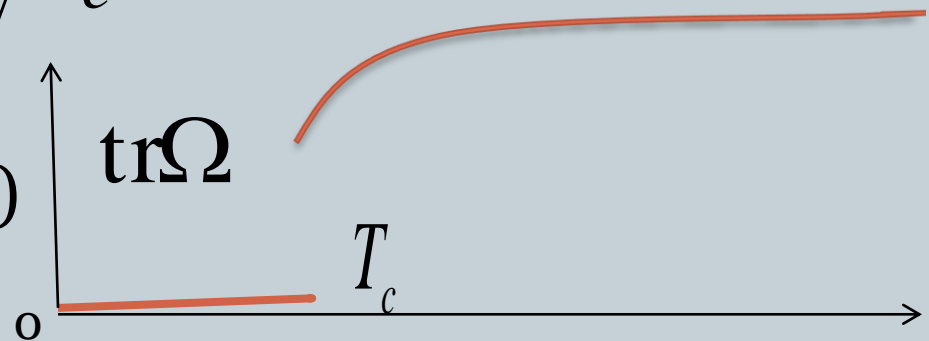
- Studying phase transition \longrightarrow order parameter (magnetization $\langle |m| \rangle$)

- Order parameter $\Omega = \exp \left[i \oint_s A_0 dx_0 \right]$

Thermal circle:
compact time

$$T = \frac{1}{\text{circumference}}$$

- Confined phase $\langle \text{tr}[\Omega] \rangle = 0, T < T_c$
- Deconfined phase $\langle \text{tr}[\Omega] \rangle \neq 0, T > T_c$
- The physics is that $\langle \text{tr}[\Omega] \rangle \sim e^{-F/T}$
- This is attributed to Z_N center symmetry in $SU(N)$



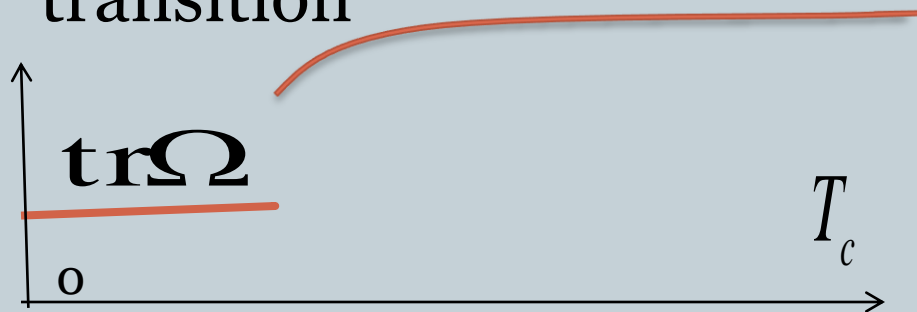
Phase transition order parameters

7

- Divide by the center

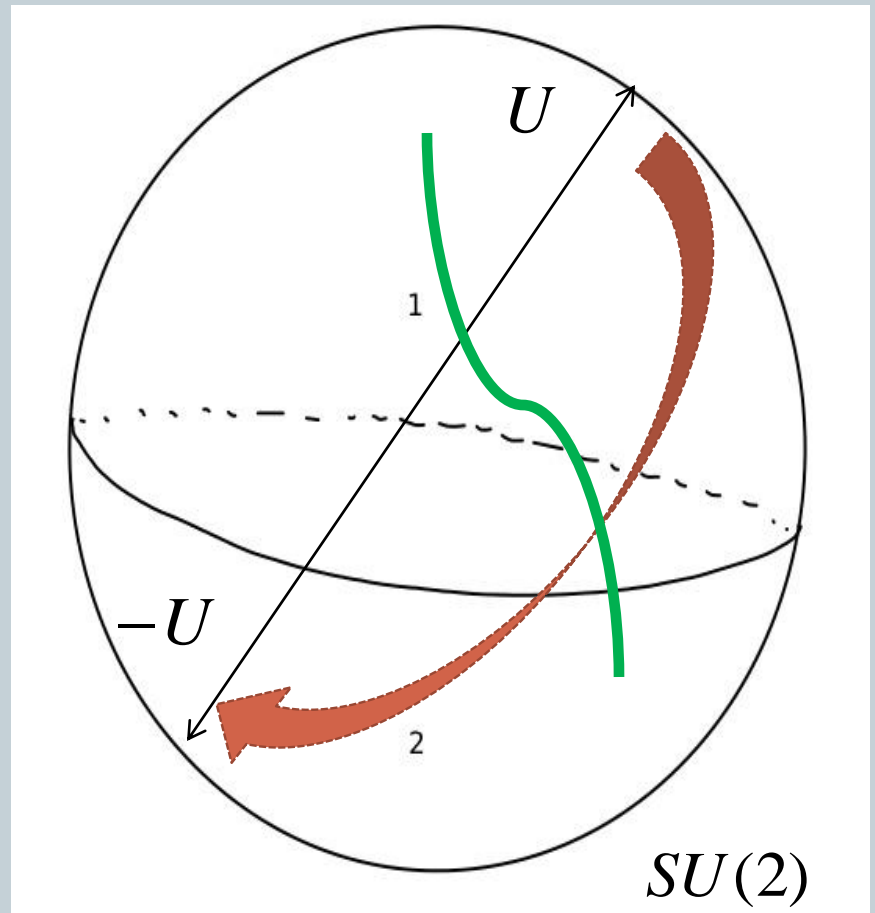
$$SU(N) / \mathbf{Z}_N$$

- Still $\text{tr}\Omega$ jumps at the transition



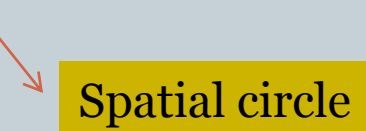
$$\pi_1(SU(N) / \mathbf{Z}_N) = \mathbf{Z}_N$$

Topological group



Gauge theories on $R^{2,1} \times S^1$

8

- Lattice QCD is in excellent agreement with this picture
- QCD is a strongly coupled system, not analytically tractable
- Ways AdS/CFT
- One needs a simpler theory that is under complete control, yet resembles the original theory
- A promising setup is Yang-Mills on $R^{2,1} \times S^1$ 
- These ideas started in the 1990 in supersymmetry

Gauge theories on $R^{2,1} \times S^1$

9

❖ Pros:

- Perform reliable semi-classical calculations
- Test the rules of different symmetries (center, topological, chiral, etc.)
- Disentangle different physical phenomena (e.g. confinement & chiral symmetry breaking)
- Mapping to lower-dimensional condensed matter systems (simulations, or using analogue systems to test our gauge theories)
- FUN!!!!

Gauge theories on $R^{2,1} \times S^1$

10

❖ Cons:

- Not the real world
- Large L limit is not under control

Gauge theories on $R^{2,1} \times S^1$

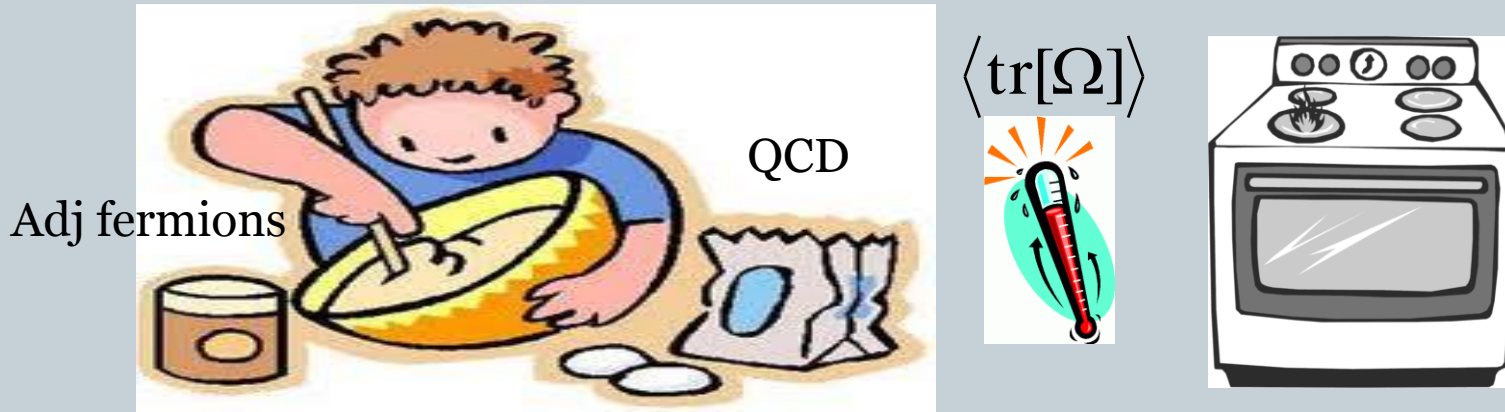
11

- ❖ Ultimate goal
- Cook up models that contain the same ingredients of realistic theories (adjoint & fundamental fermions, magnetic field, the vacuum angle, etc)
- Compare the results with existing experiments (either real or full 4-D lattice experiments)
- Make predictions and propose further experiments

Gauge theories on $R^{2,1} \times S^1$

12

- Today's meal: deconfining phase transition in $SU(3)/Z_3$ QCD with adjoint fermions



- Lattice experiments for $SU(3)$ model were conducted in 4-D : first order transition Karsch and Lutgemeir 1998

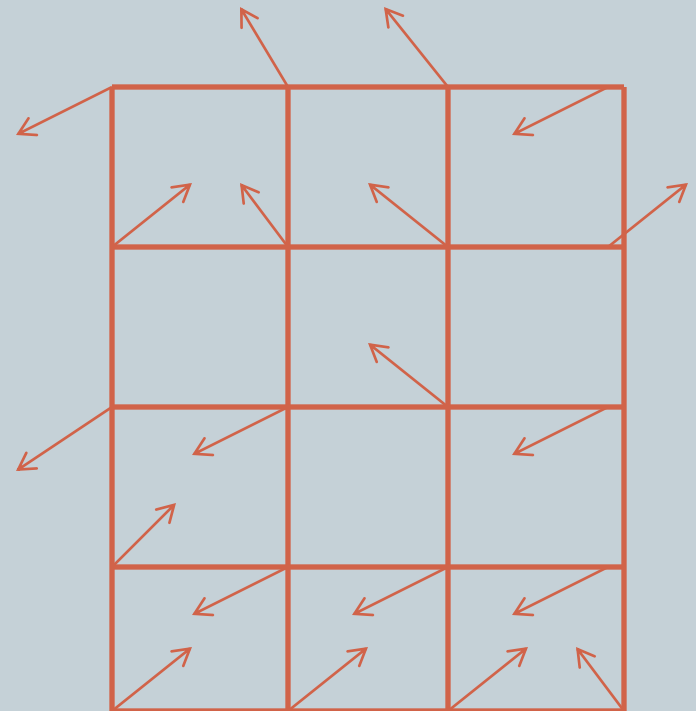
Gauge theories on $R^{2,1} \times S^1$

13

- Deconfining phase transition of SU(N) (adj) gauge theories with one compact dimension via gauge theory/affine XY model duality M.A., E. Poppitz, M. Unsal 2011, M.A., S.C., E. Poppitz 2012

$$H = \sum_{\mu,x} J \cos(\vec{A} \cdot (\vec{\mathcal{G}}_x - \vec{\mathcal{G}}_{x+\mu})) + \sum_x \kappa \cos(\vec{B} \cdot \vec{\mathcal{G}}_x)$$

- SU(2) & SU(2)/Z₂ using RG (second order transition)
- SU(3)/Z₃ using Monte Carlo



Outline:

14

- Formulation of QCD adj on $R^{2,1} \times S^1$, perturbative and non-perturbative effects at $T=0$ and Coulomb gas
- QCD adj at finite temperature, partition function
- Mapping to XY spin-models
- Monte Carlo Simulations
- Conclusion and future directions

QCD adj on $R^{2,1} \times S^1$, Formulation

15

$$S = \int_{R^{1,2} \times S^1} \frac{1}{g^2} \text{tr} \left[-\frac{1}{2} F_{\mu\nu} F^{\mu\nu} + 2i \text{tr} \overline{\lambda}_I \overline{\sigma}^\mu D_\mu \lambda_I \right] SU(n_f) \times U(1)$$

Flavor symmetry

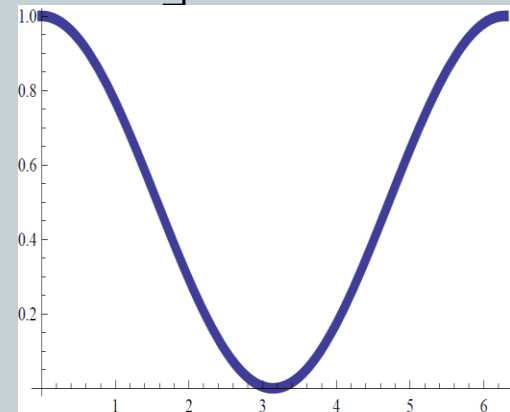
n_f Adjoint fermions with periodic boundary conditions along the S^1 circle

$$\xrightarrow{\text{small } S^1} \int_{R^{1,2}} \frac{L}{g^2} \text{tr} \left[-\frac{1}{2} F_{ij} F^{ij} + (D_i A_4)^2 - \frac{g^2}{2} V_{\text{eff}}(A_4) \right]$$

One-loop effect

Compact scalar

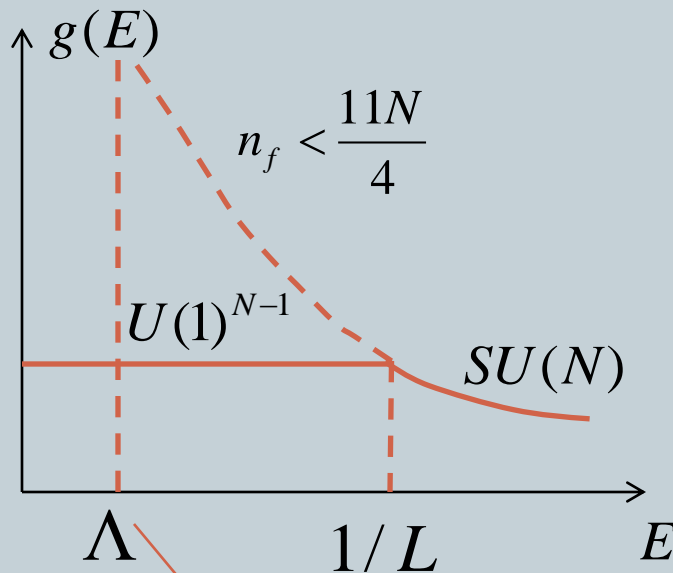
Z_N symmetry



QCD adj on $R^{2,1} \times S^1$, perturbative treatment

16

- The theory abelianizes $SU(N) \rightarrow U(1)^{N-1}$
- at small S^1 the gauge coupling is small
- The theory is effectively 3-D



Strong coupling scale

$$S = \int_{R^{1,2}} \frac{1}{2L} \left(\frac{g}{4\pi} \right)^2 (\partial \vec{\sigma})^2 + i \bar{\lambda}_I \overline{\sigma}_\mu \partial^\mu \lambda_I$$

$+ \underbrace{O\left(\frac{1}{L}\right)}_{\text{W and heavy charged fermions}} \quad N-1 \text{ components of dual photons}$

massless fermions

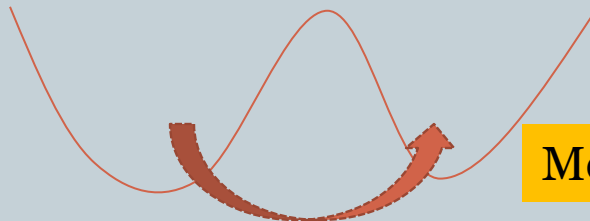
Non-perturbative objects

17

- More interesting story to tell: non-perturbative effects (Polyakov model)
- Feynman path integral

$$Z_{\text{Euclid}} = \sum_{\text{paths}} e^{-S_E}$$

Perturbative + non-perturbative (instantons)

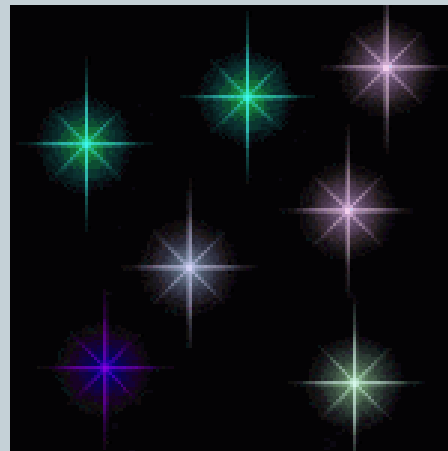


Monopole-instantons

Non-perturbative objects

18

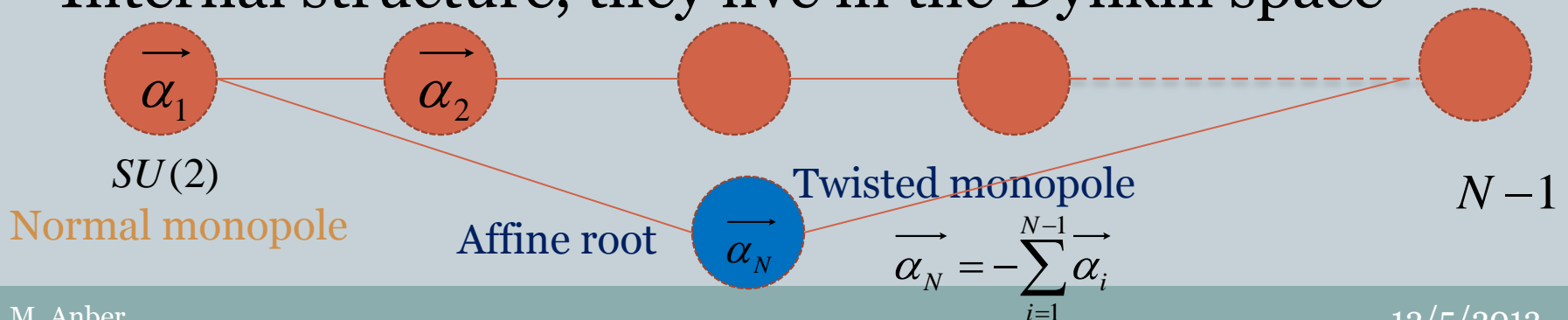
- These instantons are localized in space and time



$$\text{probability} = e^{-S_0/2}$$

$$S_0 = \frac{8\pi^2}{g^2}$$

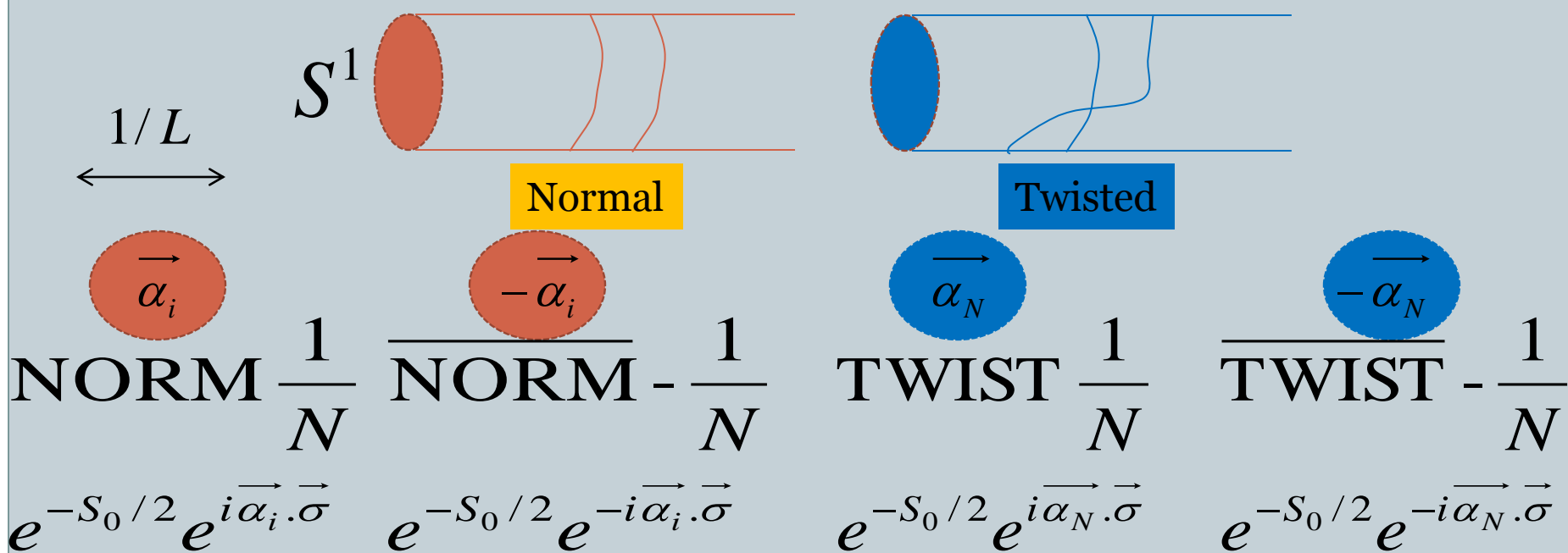
- Internal structure, they live in the Dynkin space



Non-perturbative objects

19

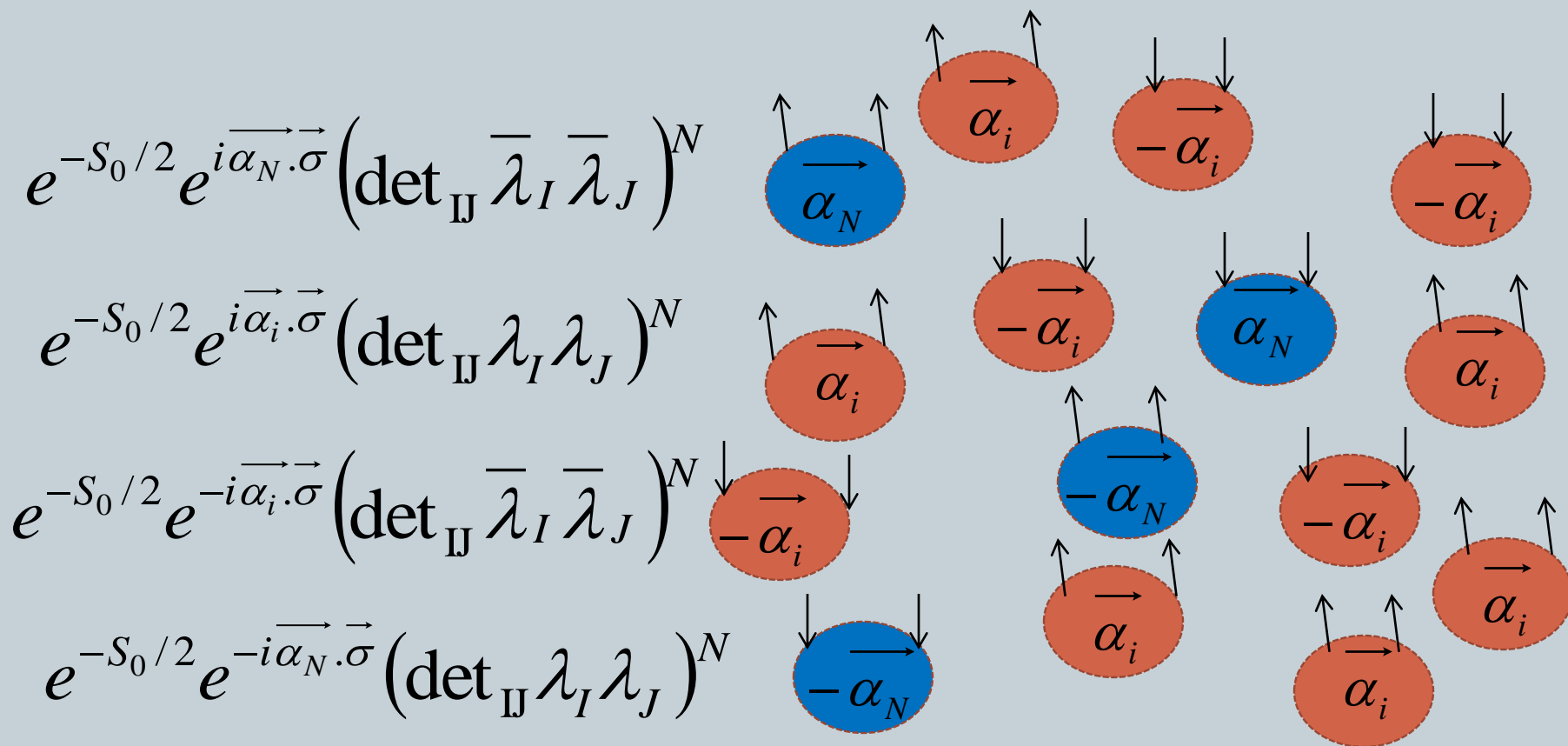
- Twisted monopoles were discovered by Kraan and Baal and (calarons), and Lee and Lu (D-branes) (1998)



Non-perturbative objects

20

- But we have additional adjoint fermions



Non-perturbative objects

21

- If nothing else

$$S = \int_{R^3} \frac{1}{2L} \left(\frac{g}{2\pi} \right)^2 (\partial \vec{\sigma})^2 + i \overline{\lambda_I} \overline{\sigma^\mu} \partial_\mu \lambda_I + b e^{-S_0/2} e^{i \vec{\alpha} \cdot \vec{\sigma}(x)} (\det_{\mathbb{H}} \lambda_I \lambda_J)^N + \text{h.c.}$$

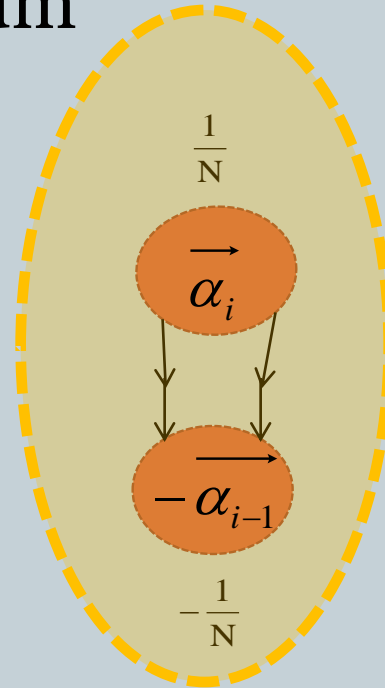
- No confinement!
- However, for $n_f = 1$ the theory is supersymmetric.
- Supersymmetric theories on $R^3 \times S^1$ confine. (Khoze et al 1999)
- Solution by Unsal 2007, mechanism is transcendent beyond SUSY

Molecular objects (Bions)

22

Bions can form in the vacuum

$$\vec{\alpha}_i \cdot \vec{\alpha}_j = \delta_{i,j} - \frac{1}{2} \delta_{i,j-1} - \frac{1}{2} \delta_{i,j+1}$$



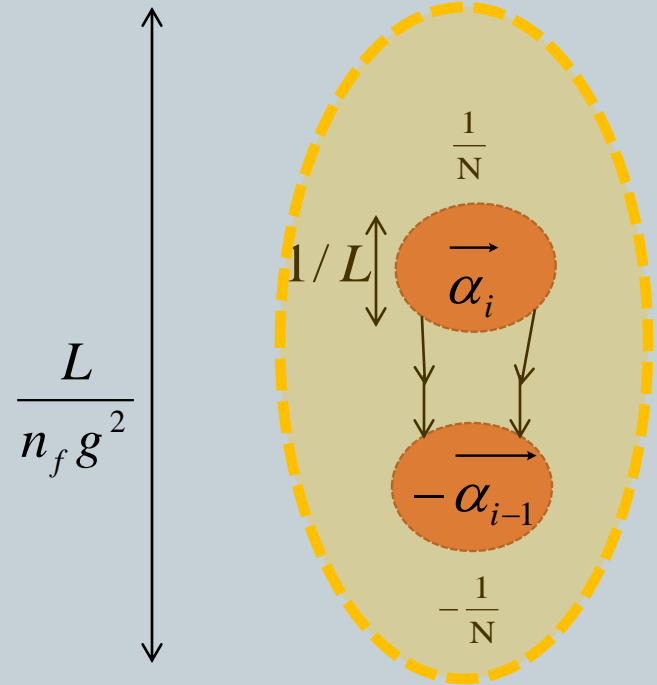
$\vec{\alpha}_i - \vec{\alpha}_{i-1}$
bion($\vec{\alpha}_i - \vec{\alpha}_{i-1}$)

Molecular objects (Bions)

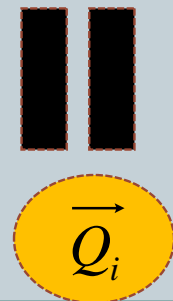
23

- Forces and scales

$$V = \underbrace{\frac{2\pi L}{g^2} \frac{1}{r}}_{\text{Coulomb}} + \underbrace{4n_f \log\left(\frac{r}{L}\right)}_{\text{fermions hopping}}$$



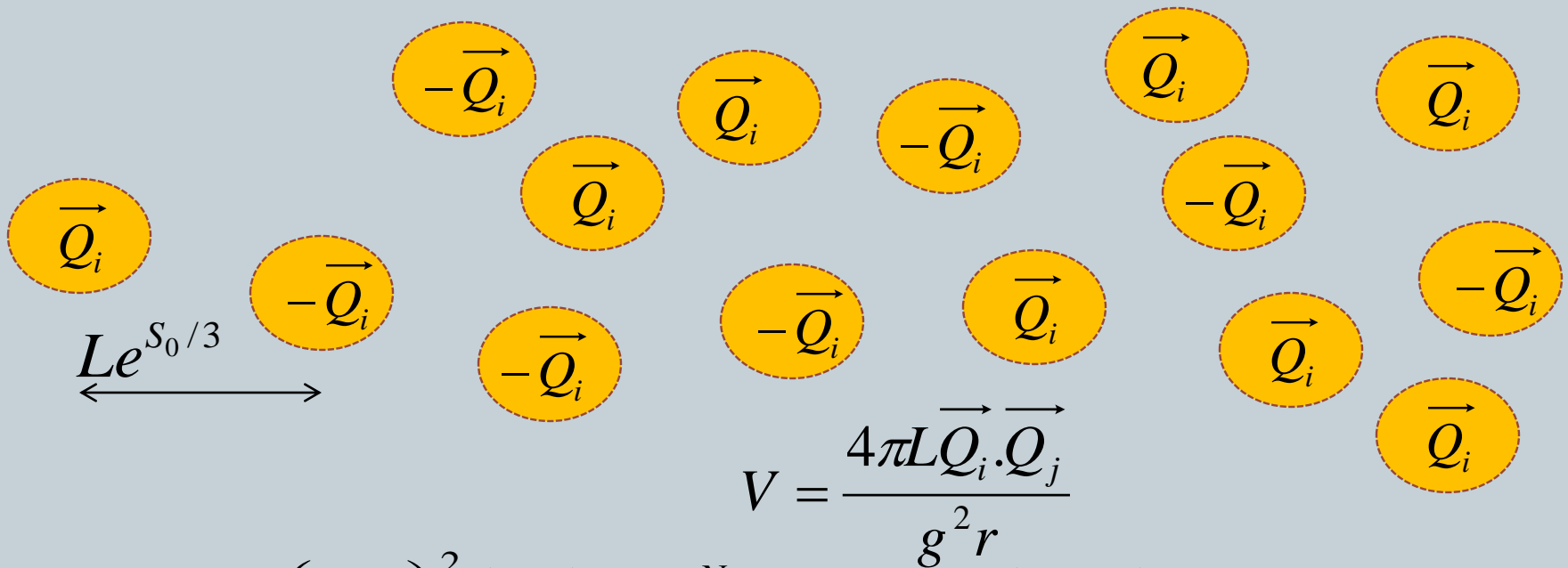
$$e^{-S_0} e^{i\vec{Q}_i \cdot \vec{\sigma}(x)}, \vec{Q}_i = \vec{\alpha}_i - \vec{\alpha}_{i-1}$$



QCD adj: Confinement

24

Bions proliferate in the vacuum: 3-D Coulomb gas



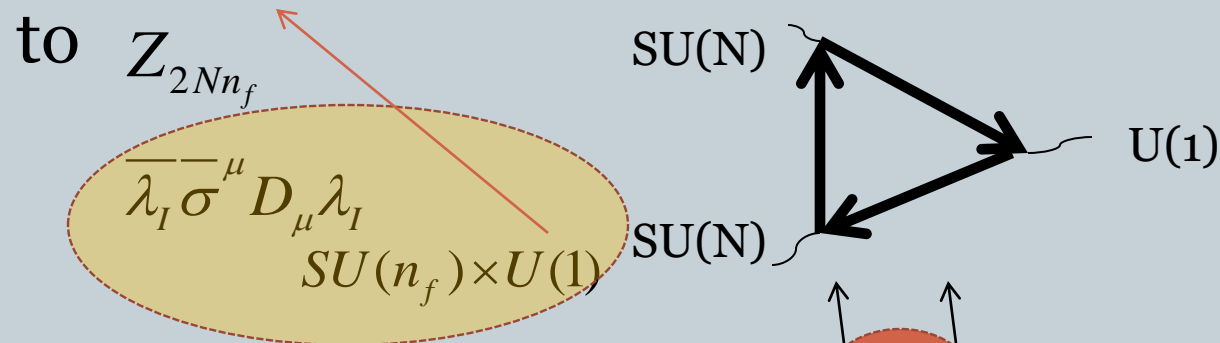
$$S = \int_{R^3} \frac{1}{2L} \left(\frac{g}{4\pi} \right)^2 (\partial \vec{\sigma})^2 + \underbrace{\sum_{i=1}^N c e^{-S_0} \cos(\vec{Q}_i \cdot \vec{\sigma})}_{\text{mass term}} + \text{fermions}$$

Polyakov 1977

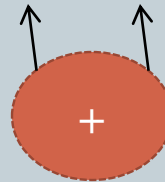
symmetries

25

- The U(1) chiral is anomalous. This symmetry breaks



- 't Hooft vertex for SU(N)



$$e^{-S_0/2} e^{i\vec{\alpha}_i \cdot \vec{\sigma}(x)} (\det_{\mathbb{H}} \lambda_I \lambda_J)^N$$

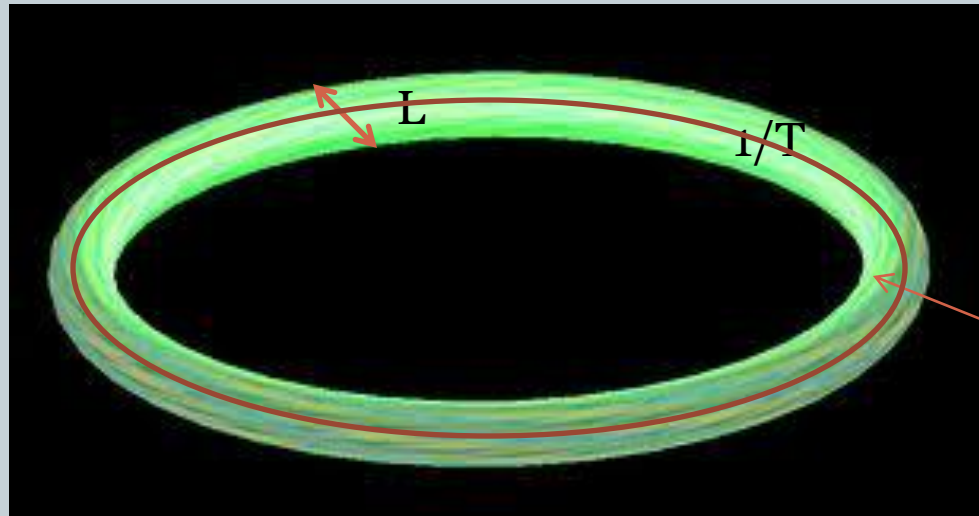
- Relevant symmetries for confinement/deconfinement

$$\cdot \underbrace{Z_N}_{\text{discrete chiral}} \times \underbrace{Z_N}_{\text{center for } SU(N), \text{ or topological for } SU(N)/Z_N}$$

QCD adj at finite temperature

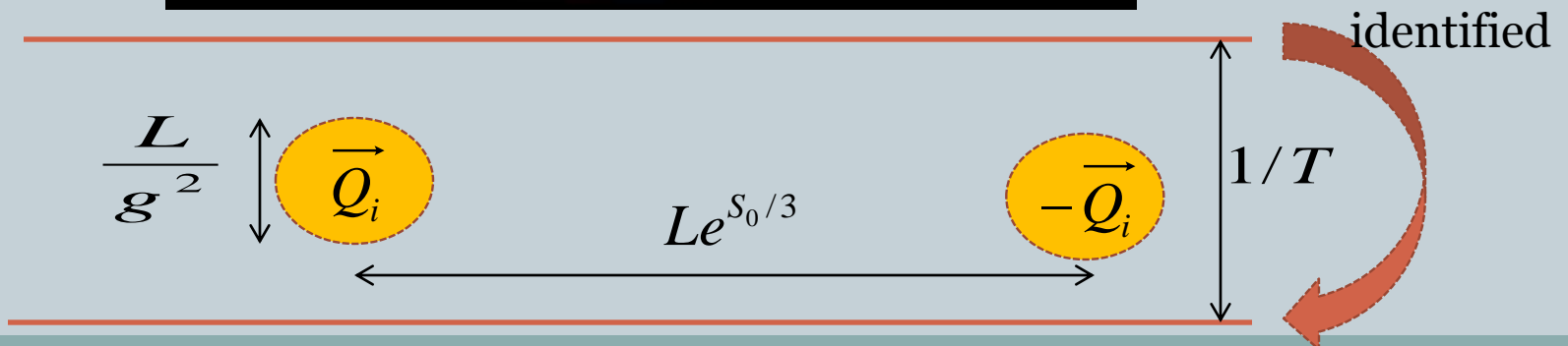
26

- At finite temperature we compactify the time direction



$$LT \ll 1$$

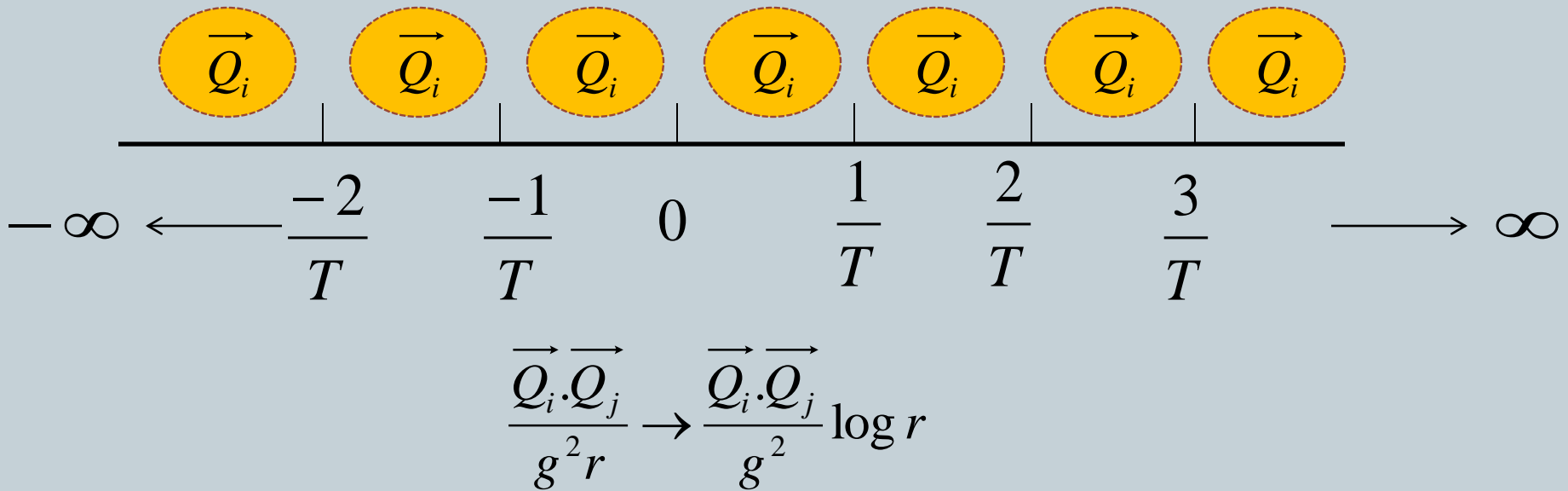
R^2



QCD adj at finite temperature

27

- For $\frac{L}{g^2} \ll \frac{1}{T} \ll Le^{S_0/3}$ we can sum up images



QCD adj at finite temperature

28

- Story has an other twist!
- At finite temperature, the W's are important

density $\propto e^{-m_W/T}$ (electric fugacity)

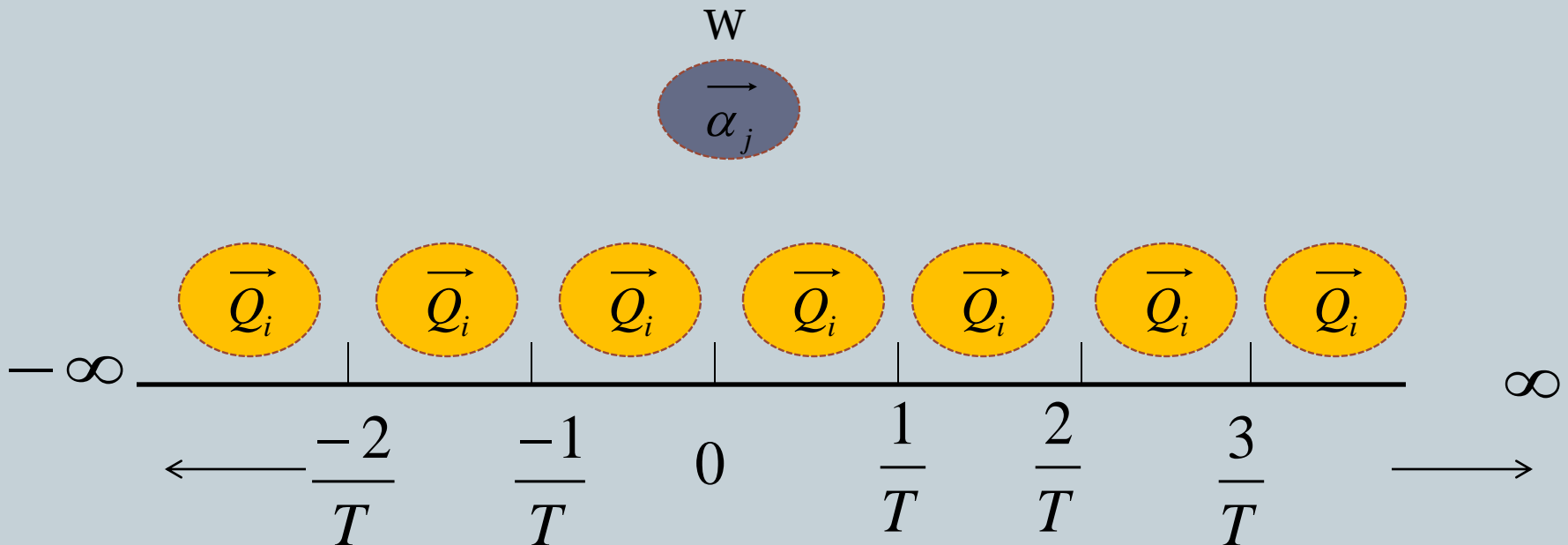


$$\frac{g^2}{2\pi L T} \vec{\alpha}_i \cdot \vec{\alpha}_j \log r$$

QCD adj at finite temperature

29

- Add to that the Aharonov-Bohm effect

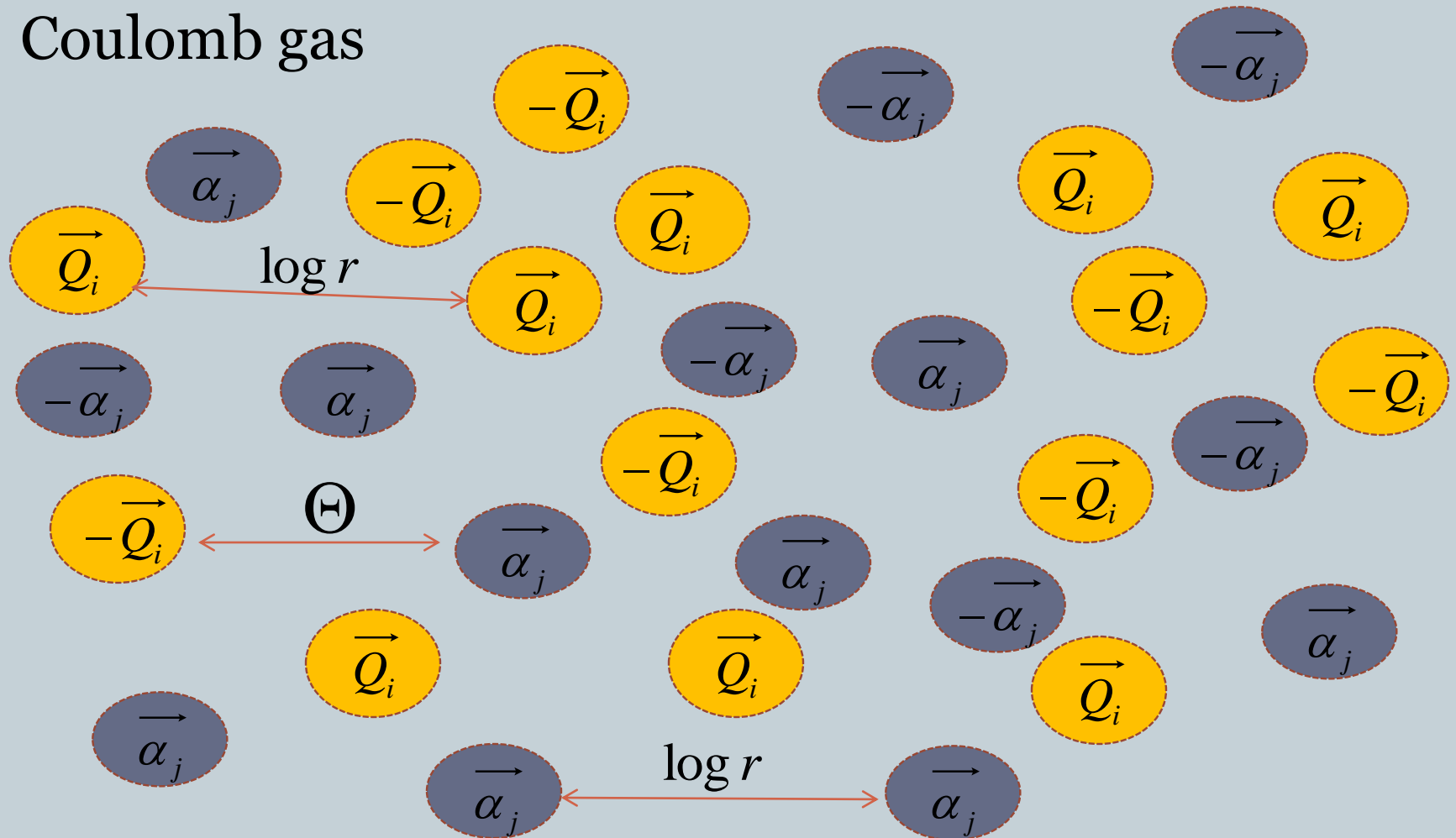


$$\oint_{\text{time circle}} dx^0 A^0_{\text{bion}} = 2\vec{\alpha}_j \cdot \vec{Q}_i \Theta(\vec{x}_W - \vec{x}_{\text{bion}})$$

QCD adj at finite temperature

30

- Coulomb gas



QCD adj at finite temperature

31

- The correct partition function

$$Z = \sum_{\vec{Q}_i, N_{bion-}, N_{bion+}, N_{W+}, N_{W-}} \frac{\xi_{bion}^{N_{bion+} + N_{bion-}}}{N_{bion+}! N_{bion-}!} \frac{\xi_W^{N_{W+} + N_{W-}}}{N_{W+}! N_{W-}!} \prod_{a,i} \int d^2 R_a^i \prod_{A,i} \int d^2 R_A^i$$

$$\exp \left[\sum_{i, ja, b, A, B} \left[\underbrace{\frac{8\pi L T}{g^2} \vec{Q}_i \cdot \vec{Q}_j}_{4/\kappa} \log \left| \vec{R}_a^i - \vec{R}_b^j \right| + \underbrace{\frac{g^2}{2\pi L T} \vec{\alpha}_i \cdot \vec{\alpha}_j}_{\kappa} \log \left| \vec{R}_A^i - \vec{R}_B^j \right| \right] \right]$$

$+ 2i \vec{\alpha}_j \cdot \vec{Q}_i \Theta \left(\vec{R}_a^i - \vec{R}_A^j \right)$

For $SU(3)$ $\vec{Q}_i \cdot \vec{Q}_j = 3 \vec{\alpha}_i \cdot \vec{\alpha}_j$

duality $\kappa \rightarrow \frac{12}{\kappa}, \xi_W \rightarrow \xi_{bion}$

Potential sign problem for simulations

Strong coupling at the self-dual point

Mapping QCD adj to spin models

32

- Cook again, but now with “different” looking ingredient



Spin model



$$\left\langle e^{2i\vec{v} \cdot \vec{\vartheta}(\vec{x})} e^{-2i\vec{v} \cdot \vec{\vartheta}(\vec{0})} \right\rangle$$

Spin model

33

- For $SU(2)$, there is an exact solution via RG analysis (M.A. , E. Poppitz, M. Unsal)
- However, the RGEs break down for $SU(3)$ and so we must turn to simulations on the lattice
- The spin model dual to $SU(3)/\mathbb{Z}_3$ QCD(adj) is the theory of two coupled XY-spins:

$$\vec{\theta}_x = (\theta_x^1, \theta_x^2) \equiv \vec{\theta}_x + 2\pi\vec{\alpha}_1 \equiv \vec{\theta}_x + 2\pi\vec{\alpha}_2$$

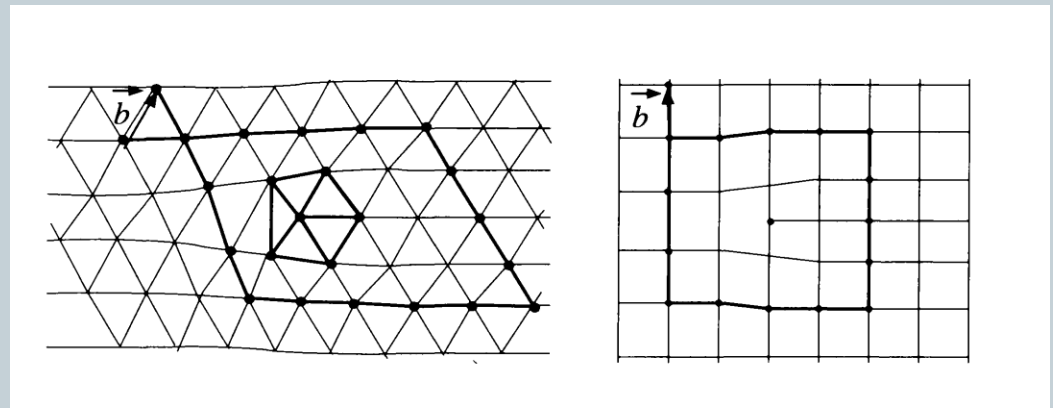
Spin model

34

- The spin model is defined by a lattice partition function with:

$$-\beta H = \sum_{x; \hat{\mu}=1,2} \sum_{i=1}^{N_c=3} \frac{\kappa}{4\pi} \cos 2\vec{\nu}_i \cdot (\vec{\theta}_{x+\hat{\mu}} - \vec{\theta}_x) + \sum_x \sum_{i=1}^{N_c=3} \tilde{y} \cos 2(\vec{\alpha}_i - \vec{\alpha}_{i-1}) \cdot \vec{\theta}_x$$

- Kinetic term: similar to a model used to describe melting of a 2d crystal on a triangular lattice (Nelson, 1977)



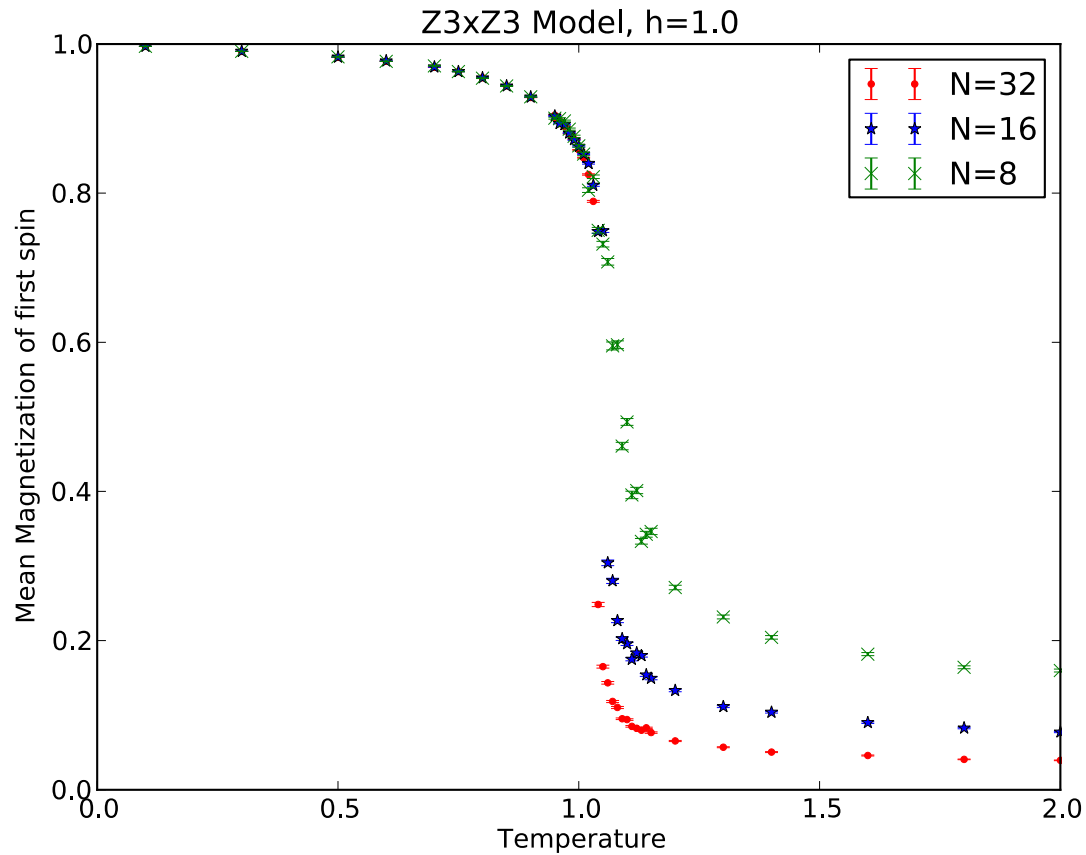
Spin model

35

- SU(3) interpretation of spin model:
- Fluctuations in $\{\vec{\theta}_x\}$: duals of two massless photons sourced by magnetic bions
- Vortices $\frac{1}{2\pi} \oint d\vec{l} \cdot \nabla \mathcal{G} = \pm 1$ describe electric excitations in theory (W-bosons) excited at $T > 0$
- Exact $U(1) \times U(1)$ symmetry (corresponding to two dual photons) is broken by potential “external field” term to $\mathbb{Z}_3^t \times \mathbb{Z}_3^{d\chi}$ symmetry

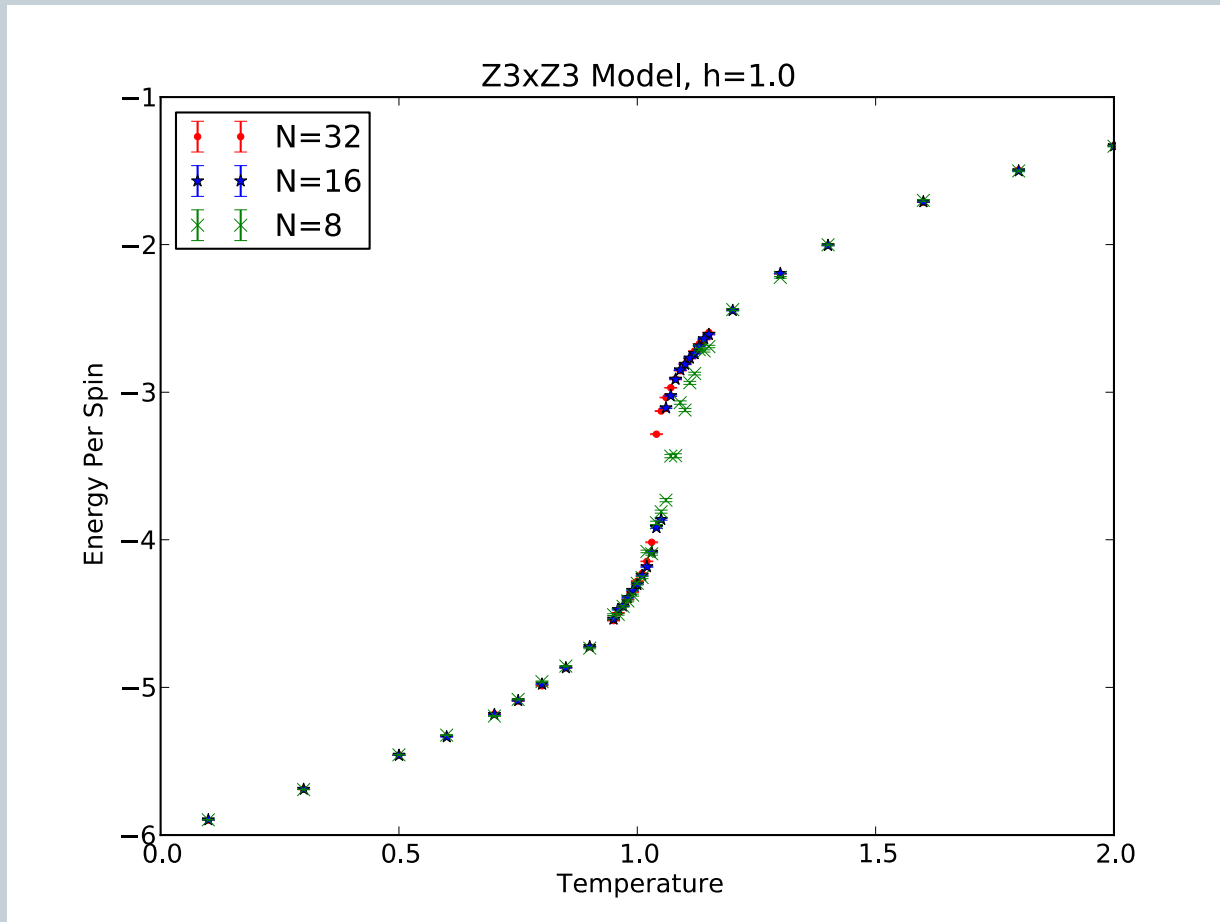
Computational results: order parameter

36



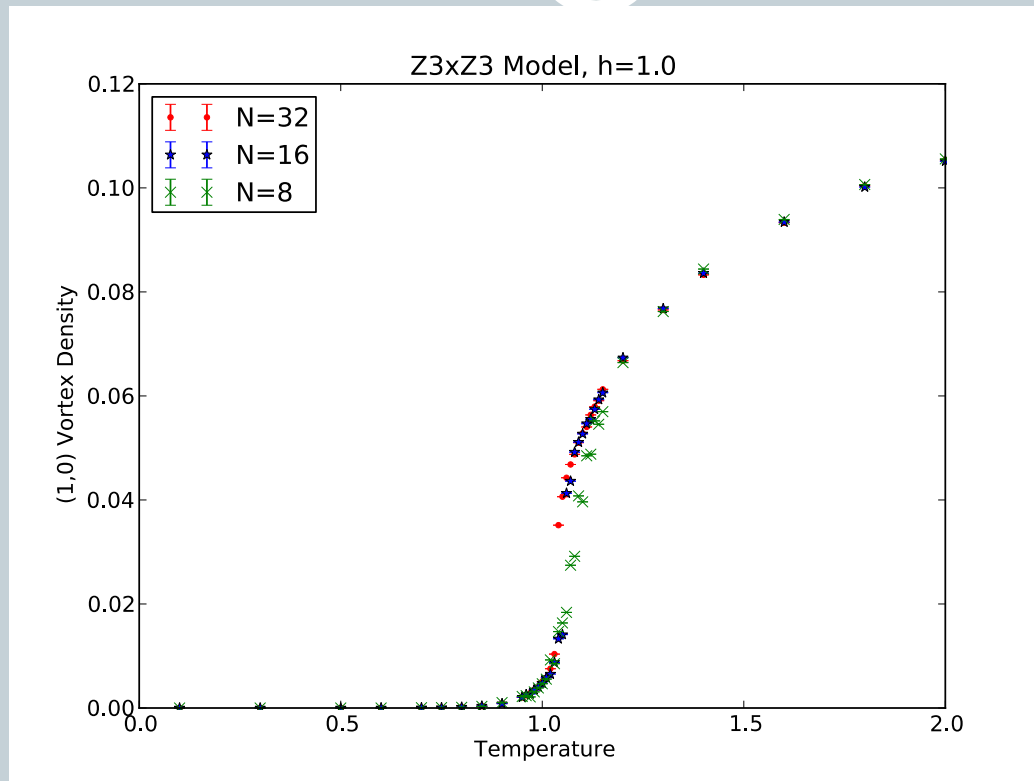
Computational results: energy per spin

37



Computational results: vortex density

38

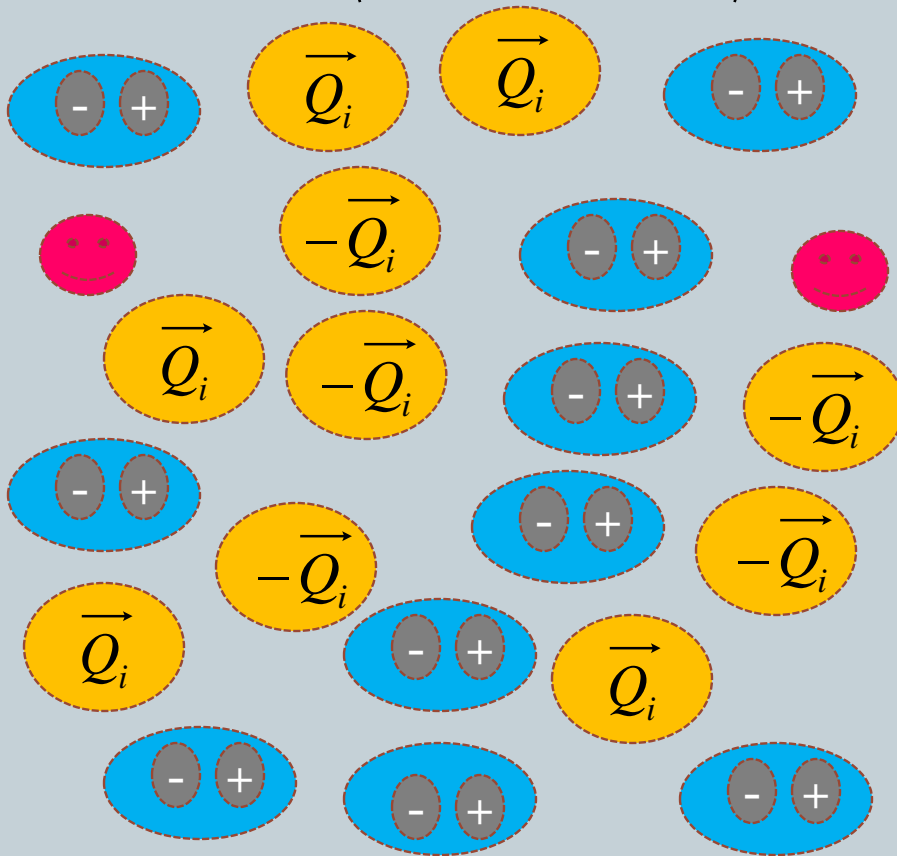


- Recall: vortices in the spin model are dual to liberated W -bosons in the gauge theory

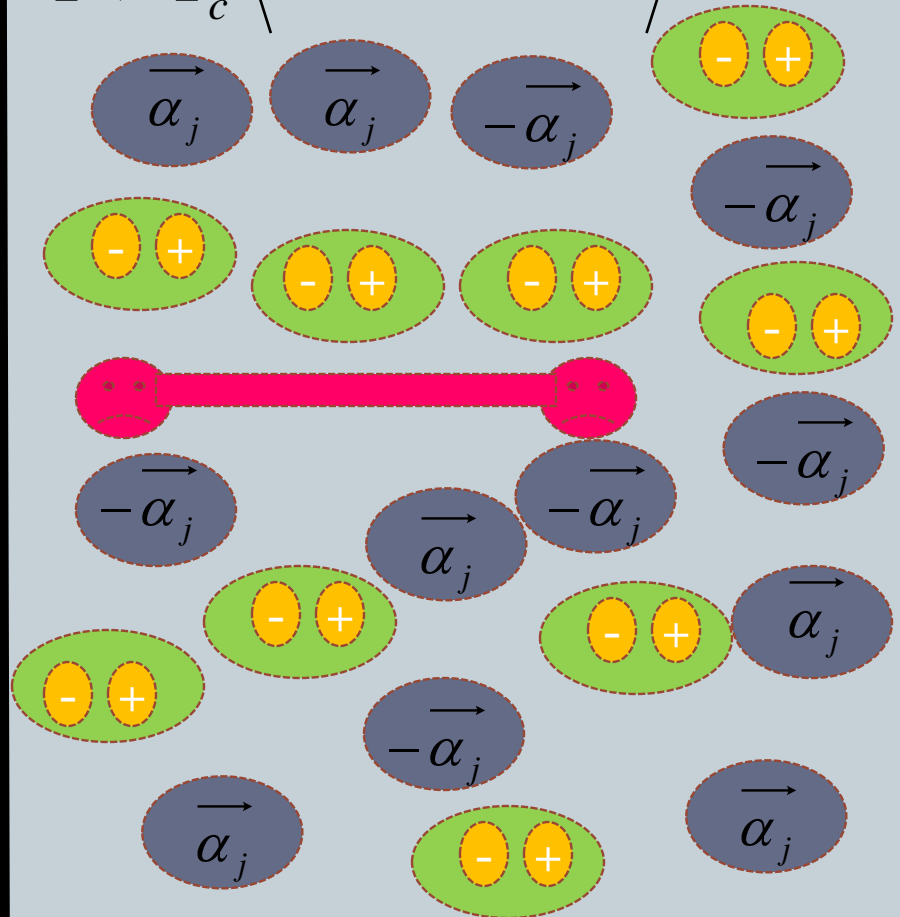
Picture for QCD adj

39

$$T < T_c \quad \left\langle e^{2i\vec{v}\cdot\mathcal{G}(\vec{x})} e^{-2i\vec{v}\cdot\mathcal{G}(\vec{0})} \right\rangle = 1$$

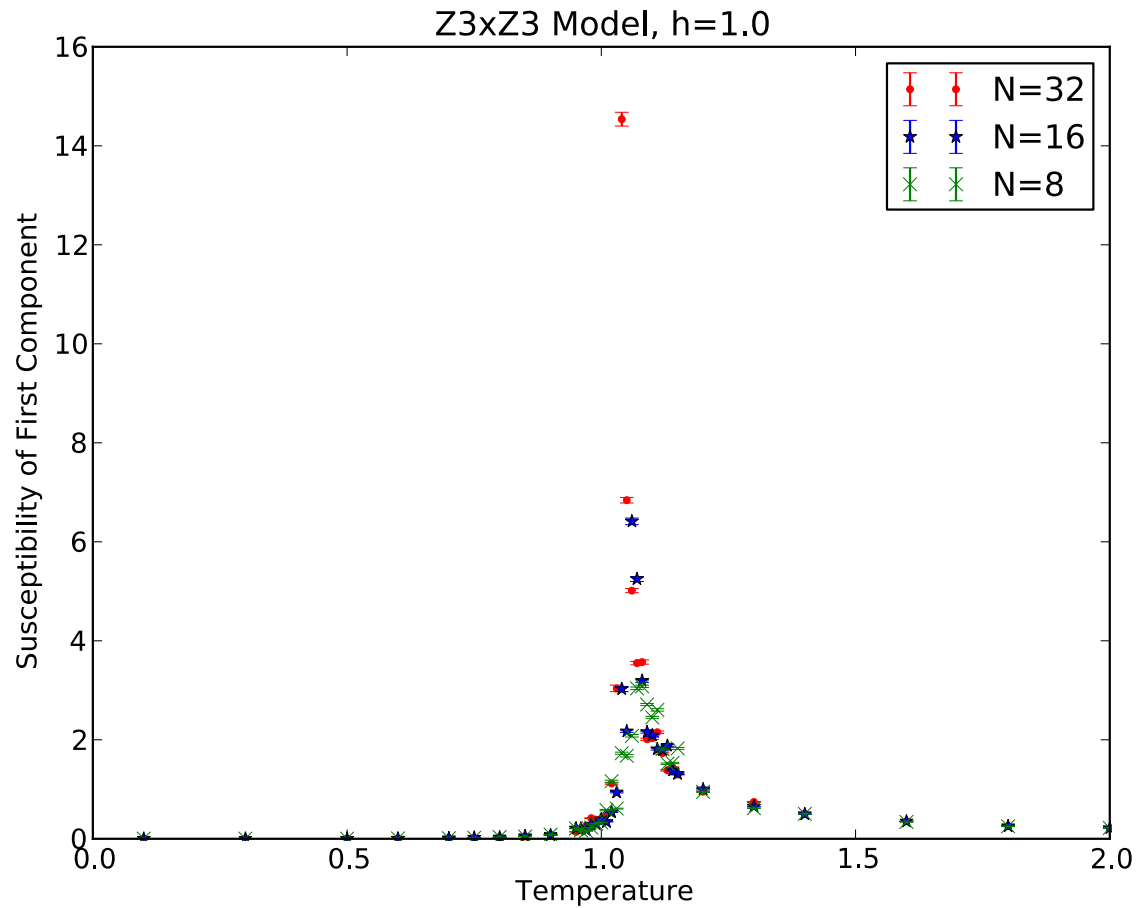


$$T > T_c \quad \left\langle e^{2i\vec{v}\cdot\mathcal{G}(\vec{x})} e^{-2i\vec{v}\cdot\mathcal{G}(\vec{0})} \right\rangle = e^{-\sigma_{string} x}$$



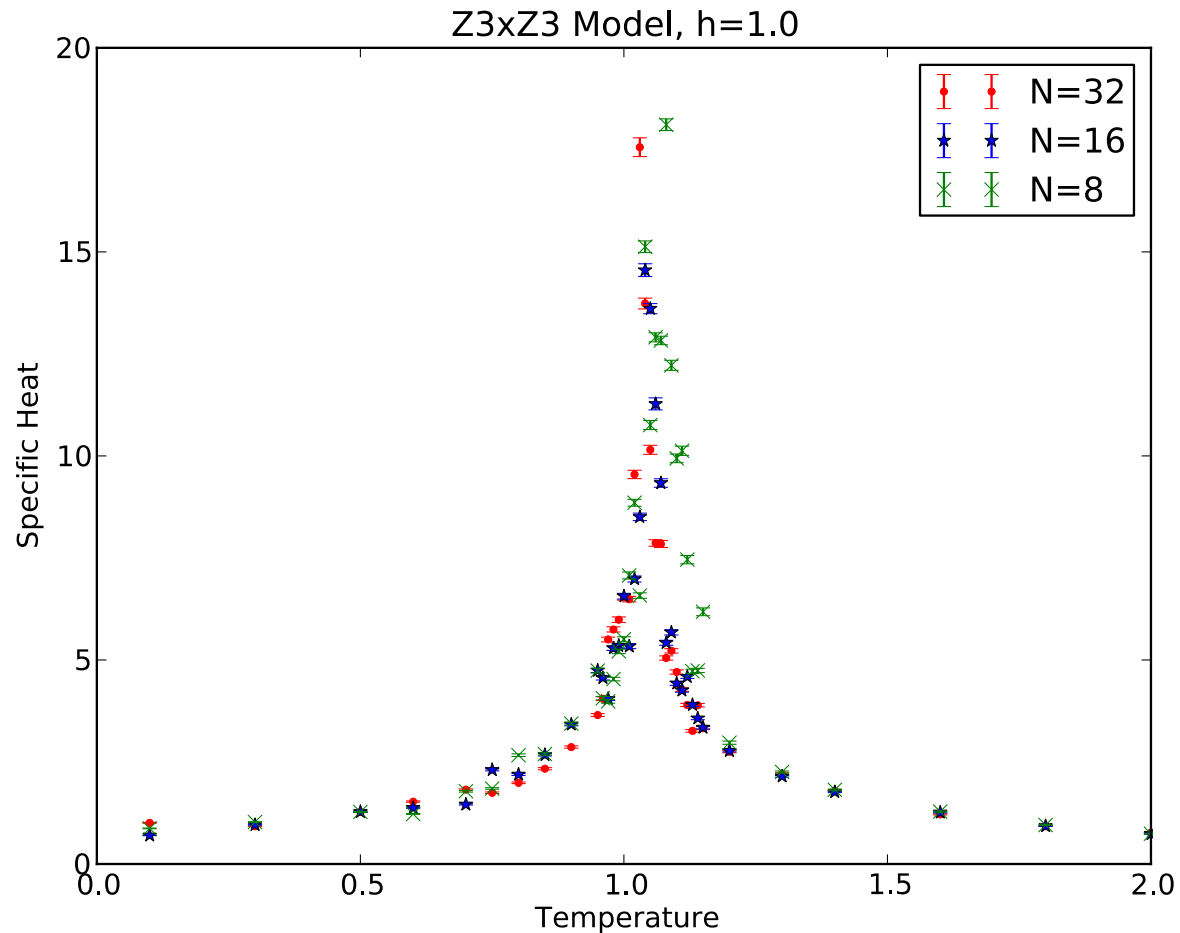
Computational results: magnetic susceptibility

40



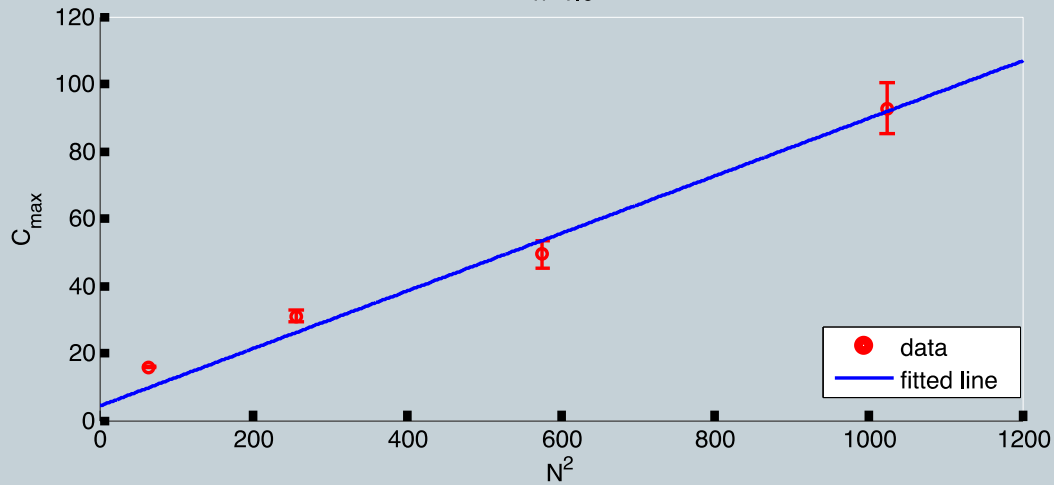
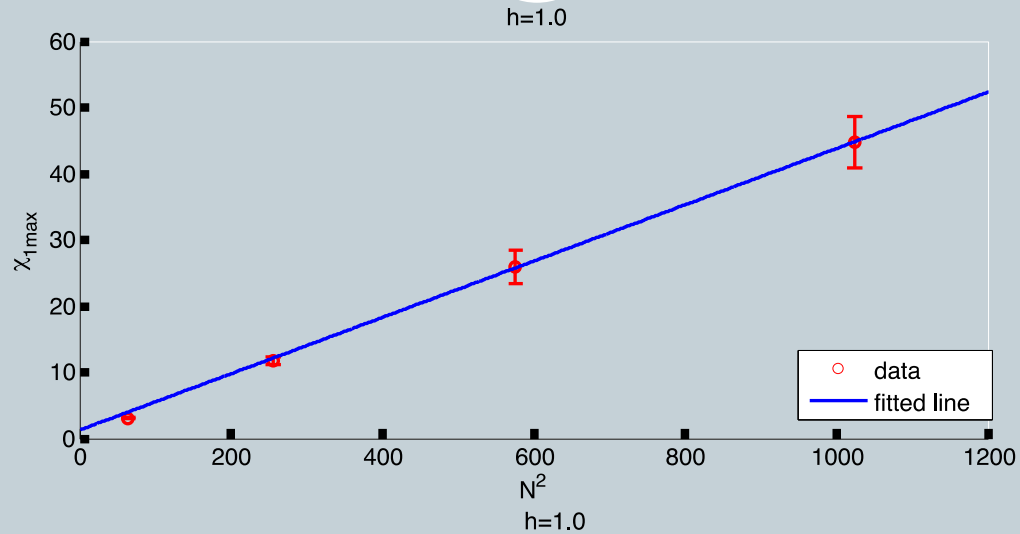
Computational results: specific heat

41



Finite-size scaling

42



Finite-size scaling

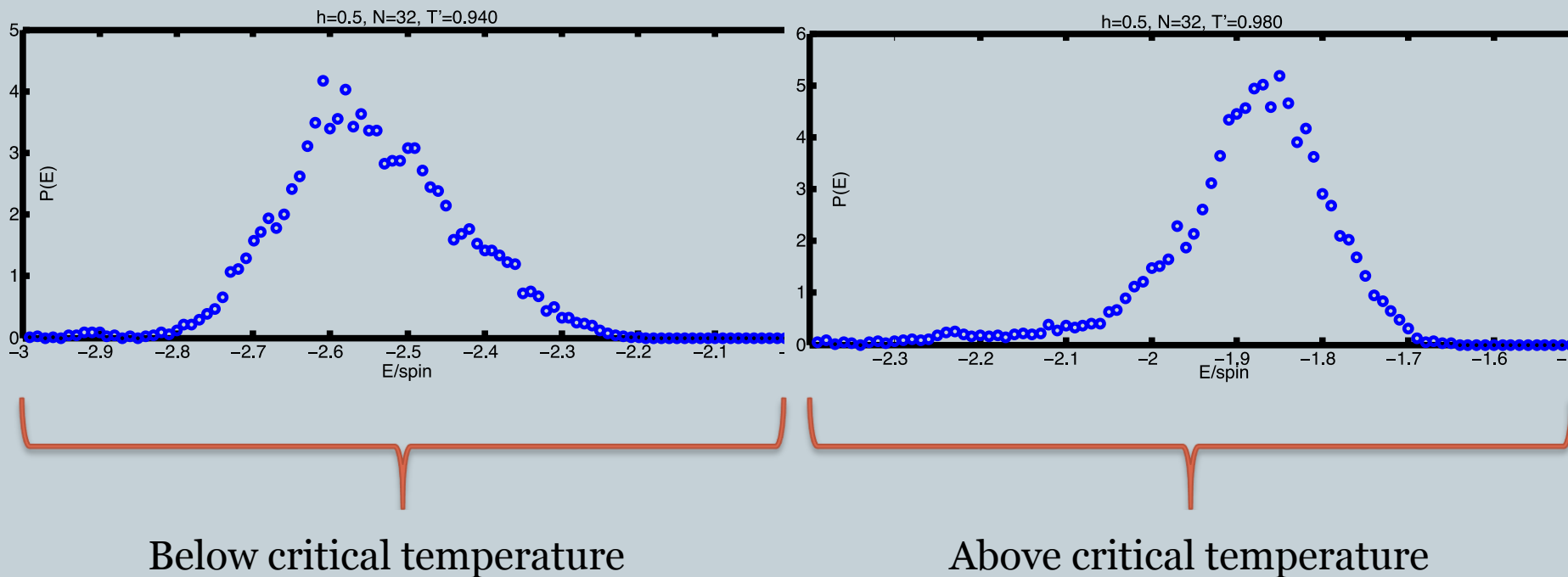
43

- Our findings appear consistent with a first-order phase transition!
- Computational finite-size scaling provides a necessary counterpoint to the suggestion of (unconvincing, due to the onset of strong coupling) renormalization group analyses that the self-dual point is a fixed point

Energy probability distribution

44

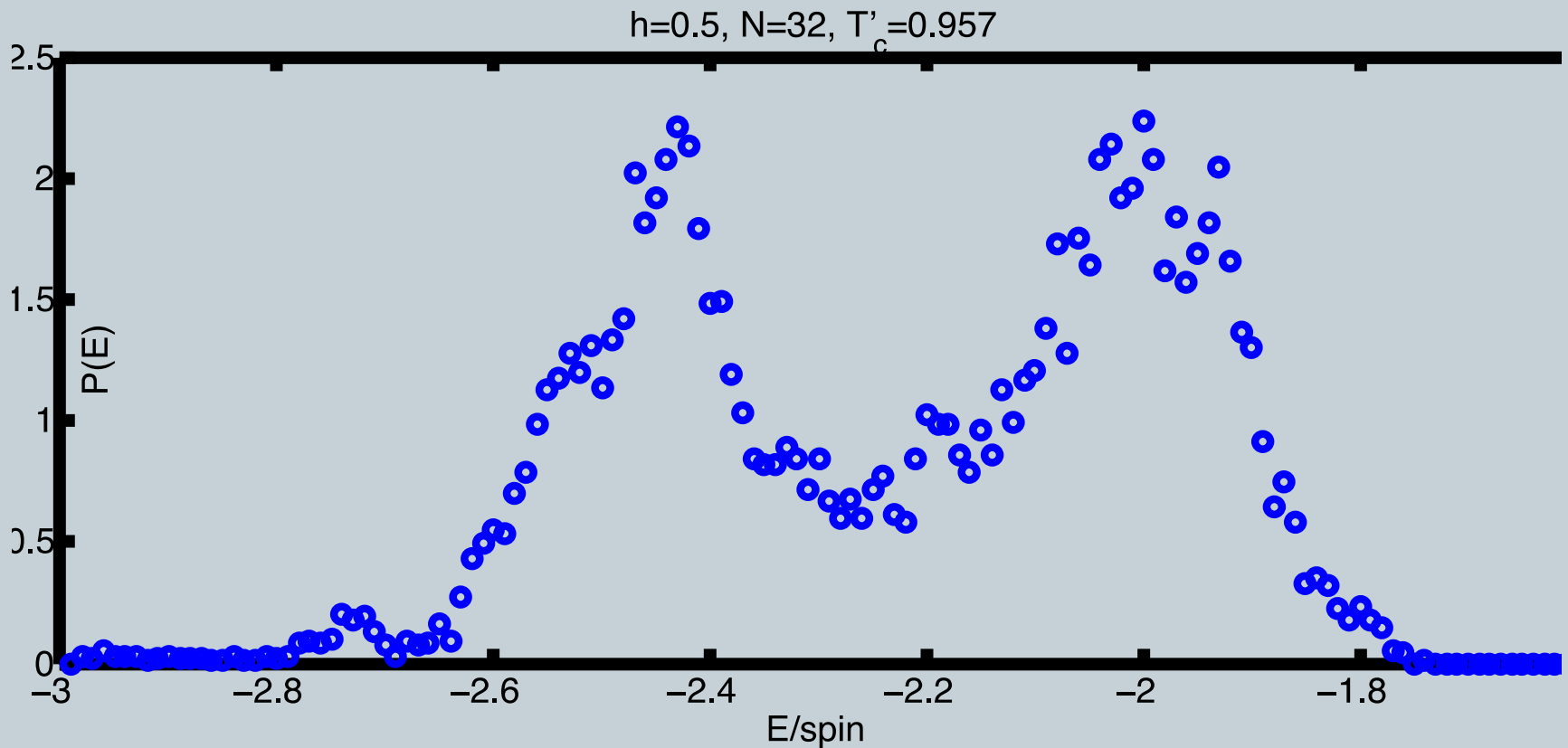
- Further corroboration for the observed first-order phase transition: phase coexistence



Energy probability distribution

45

- Phase coexistence at the critical temperature:

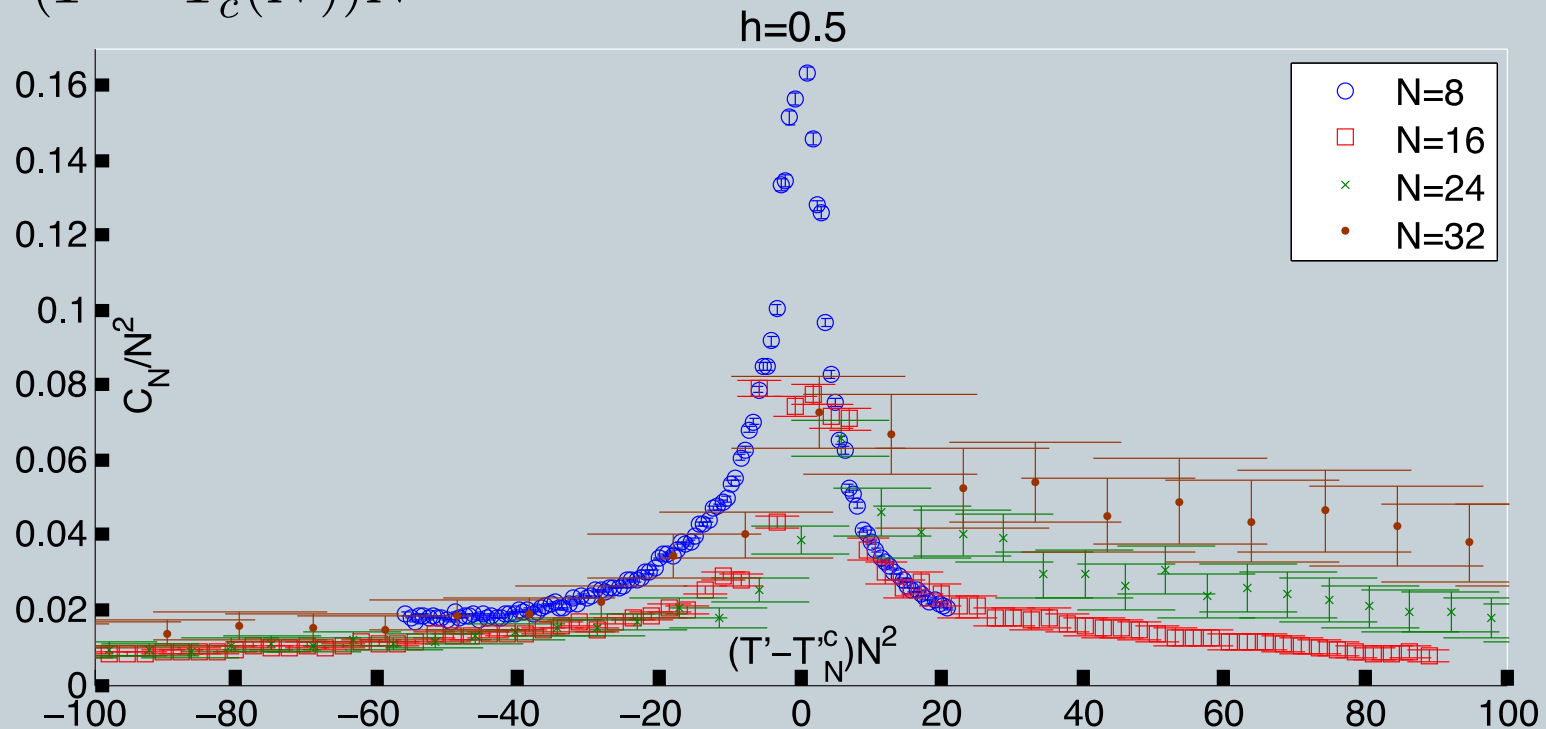


Finite-size scaling

46

- Final check:

- It has been shown that for sufficiently large volumes and in the critical region $\frac{C(N, T')}{N^2}$ is expected to be a universal function of $(T' - T'_c(N))N^2$



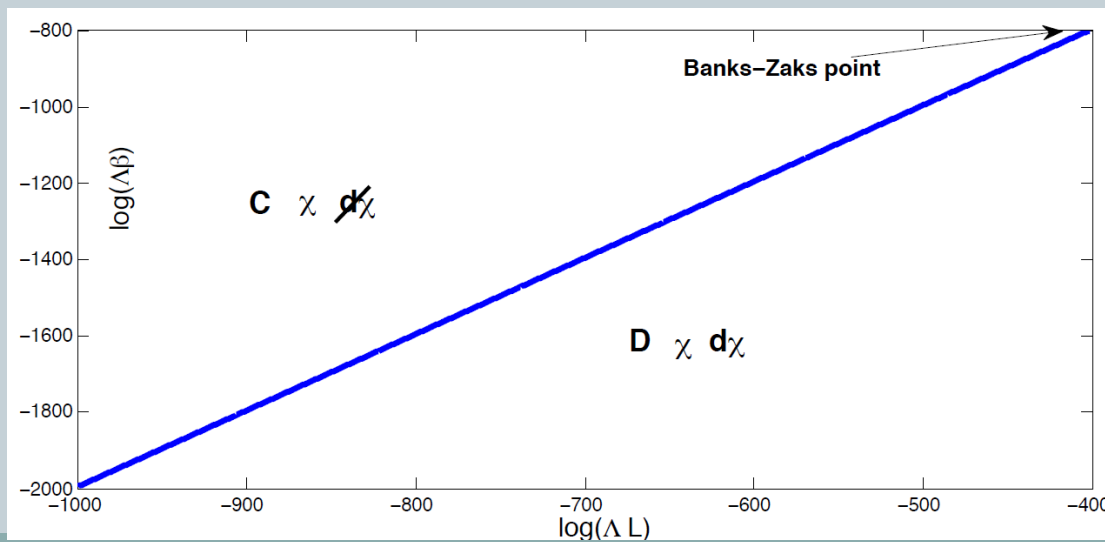
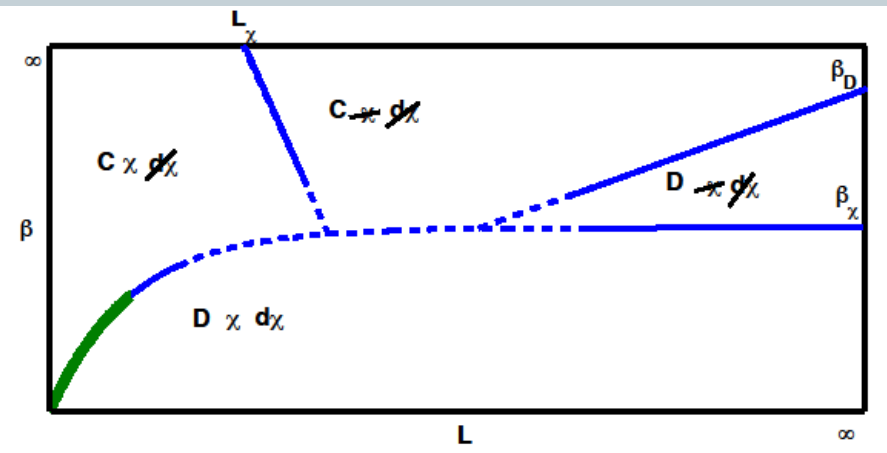
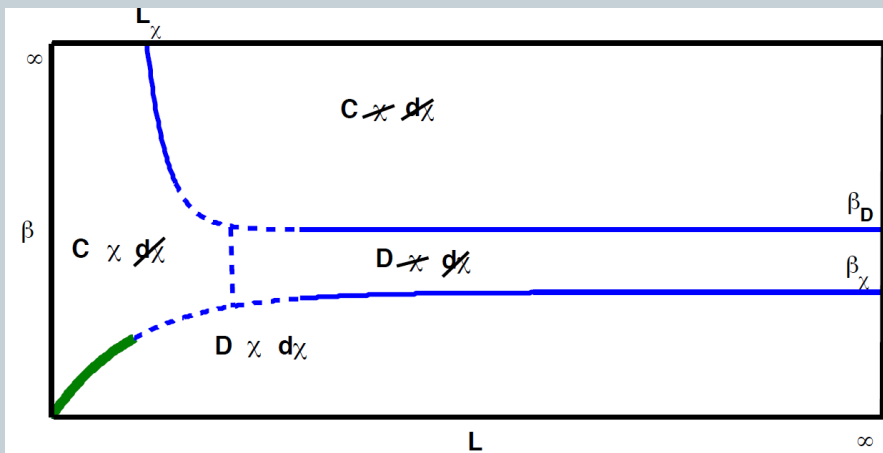
Conclusion

47

- Study of the phase transition in the XY-spin model dual to QCD adj theory on $R^{2,1} \times S^1$
- The phase transition is first order for $SU(3)/Z_3$ using Monte carlo simulations
- This agrees with what was found for the deconfinement transition in $SU(3)$ 4-D QCD(adj) (with $n_f = 4$)
- One would also want to study other effects, like adding fundamental fermions and turning on a background field
- Work along these lines is in progress

Speculations and further studies

48



$$n_f = 5$$